

## National Investor Relations Institute

# MEMBERSHIP APPLICATION

You may also apply online at www.niri.org/join

Individual Data				Membership Categories	
First Name	Middle Initial	Last Nam	e Suffix	□ CORPORATE MEMBER: Investor relations practitioners employed by a company listed on a public stock exchange or a company that may go public.	
				U.S. \$625 + U.S. \$50 ap	oplication fee
Nickname/Name for Badge (optional)				□ <b>COUNSELOR MEMBER:</b> Investor relations practitioners engaged in assisted public company clients.	
Full Company Name				U.S. \$625 + U.S. \$50 application fee	
Your Title				□ SERVICE PROVIDER MEMBER: Individuals employed in a business that provides products or services to support the investor relations function.	
Tour Title				U.S. \$625 + U.S. \$50 application fee	
Address				☐ <b>AFFILIATED PROFESSION MEMBER:</b> Individuals employed in a	
				analysts/brokers, accour	estor relations; attorneys, investment bankers, ntants, etc.
City		State/Province	Zip/Postal Code	U.S. \$625 + U.S. \$50 ap	oplication fee
Country				□ ACADEMIC MEMBER: Professors or students engaged full-time in an academic institution in areas encompassing investor relations or corporate communications.	
Phone	Fax	Em	ail	Academic institution	Advisor/Dean
Year Started Wo	orking	Year you started IF	Ractivities	Email U.S. \$100 + U.S. \$50 ap	Phone polication fee
Company Web S	Site	Ticker Symbol (if a	pplicable)	•	
Standard Industrial Classification (SIC) 2-digit Code  If applicable; for codes www.osha.gov/pls/imis/sic_manual.html				Provider, or Af	
Primary Industry	<i>'</i>	Secondary Indust	у	(Please check a maximum o	•
STOCK MARKET/EXCHANGE (IF APPLICABLE):				☐ Annual reports	
□ NASDAQ OMX □ NYSE AMEX				□ Conferencing	
□ Non-U.S./OTC/Pink Sheets (please specify):				□ Consulting for public companies	
				<ul> <li>□ Corporate communicatio</li> <li>□ Executive recruitment</li> </ul>	ons
Type of Organization				☐ IPO consulting	
				☐ Meetings	
☐ Public Company				□ News release	
☐ Private Company that may go public				☐ Proxy solicitation, contests	
☐ IR consulting				□ Research	
☐ Service Provider or Vendor				☐ Shareholder analysis, targeting	
□ Law firm				☐ Stock transfer agents	
☐ Venture Capital firm/investment bank/hedge fund				☐ Web site development	
☐ University/Academic Institution				☐ Writing, presentation development	
□ Other:				☐ Other:	

## Code of Ethics

### As a member of the National Investor Relations Institute, I will:

#### CORPORATE/COUNSELOR MEMBERS:

- Maintain my integrity and credibility by practicing investor relations in accordance with the highest legal and ethical standards.
- Avoid even the appearance of professional impropriety in the conduct of my investor relations responsibilities.
- Recognize that the integrity of the capital markets is based on transparency of credible financial and non-financial corporate information, and will to the best of my ability and knowledge work to ensure that my company or client fully and fairly discloses this important information.
- Provide analysts, institutional and individual investors and the media fair access to corporate information.
- Honor my obligation to serve the interest of shareholders and other stakeholders.
- Discharge my responsibilities completely and competently by keeping myself abreast of the affairs of my company or client as well as the laws and regulations affecting the practice of investor relations.
- Maintain the confidentiality of information acquired in the course of my work for my company or client company.
- Not use confidential information acquired in the course of my work for my personal advantage nor for the advantage of related parties.
- Exercise independent professional judgment in the conduct of my duties and responsibilities on behalf of my company or client.
- Avoid any professional/business relationships that might affect, or be perceived to potentially affect, my ethical practice of investor relations.
- Report to appropriate company authorities if I suspect or recognize fraudulent or illegal acts within the company.
- 12. Represent myself in a reputable and dignified manner that reflects the professional stature of investor relations.

NIRI

C<sub>1</sub>

C2

C3

Total

Paid

Date

#### SERVICE PROVIDER/AFFILIATED PROFESSION/ACADEMIC MEMBERS:

- Maintain my integrity and credibility in accordance with the highest legal and ethical standards.
- Keep myself abreast of the laws and regulations affecting the practice of investor relations to the extent necessary to fulfill my duties.
- During the course of my work, support, study, research, reporting, and/ or practice of investor relations:
  - Maintain the confidentiality of information acquired.
  - Not use confidential information acquired for personal advantage nor for the advantage of other parties.
  - Exercise independent judgment and avoid conflicts of interest.
- 4. Support and promote maintaining the highest level of ethical standards for investor relations professionals.
- Report to appropriate authorities if I strongly suspect or recognize fraudulent or illegal acts are being conducted.
- 6. Represent myself in a reputable and dignified manner that reflects on the professional stature of investor relations.
- Honor my obligation to serve the interest of shareholders and other stakeholders by recognizing that the integrity of the capital markets is based on transparency of credible financial and non-financial corporate information.

Approved NIRI VP

Date

8. Avoid any professional/business relationships that might affect, or be perceived to potentially affect, the ethical practice of investor relations or its professional stature.

Have you ever been cited for violating U.S. Securities and Exchange Commission regulations, or convicted of a felony? 🗆 Yes 🗀 No					
Please sign here		Date			
Payment					
National Dues: \$675 (includes one-time application fee of \$3)	\$	☐ Enclosed is a check payable	e to NIRI		
(IIIOIddos ono timo application 100 s. \$.	30)	☐ Charge credit card (AMEX, Discover, MasterCard, VISA)			
CHAPTER AFFILIATIONS (OPTIONAL)	ı.				
NIRI Chapters provide valuable educat membership is a prerequisite for chapt three NIRI Chapters. For a full list of ch three of this document or go to www.i	ter membership. You may join up to napters and chapter dues see page	Card number	Security/panel #		
unos er ame erezam er ere garar		Name on Card	Expiration Date		
Chapter 1:	Dues Amount: \$	Please remit dues along with a NIRI 225 Reinekers Lane, Suite 560, A	•		
Chapter 2:	Dues Amount: \$	Or fax to: (703) 562-7701 Or em	,		
Onaptei 2.	Dues Amount y	NIRI Tax ID #: 54-1758790			
Chapter 3:	Dues Amount: \$	NIRI membership is in the name of the individual, not the employer organization Membership transfer from one individual to another may be made with permission of the original member and a \$50 transfer fee			
Total National and Chapter Dues:	\$	Membership is not valid without p	payment of dues		
FOR NIRI USE					



# National Investor Relations Institute CHAPTER DUES

CHAPTER	ANNUAL DUES	NOTES
Arizona	\$150	Includes all meetings
Atlanta, GA	\$250	Includes all meetings
Austin*San Antonio, TX	\$50	
Boston, MA	\$225	Includes all meetings
Capital Area, DC	\$100	
Central Florida	\$75	
Central Ohio	\$200	Includes all meetings
Charlotte, NC	\$100	
Chicago, IL	\$200	Includes all meetings
Cincinnati Tri-State, OH	\$200	Includes all meetings
Cleveland/Northern Ohio	\$250	Includes all meetings
Connecticut/Westchester	\$60	
Dallas*Ft. Worth, TX	\$200	Includes all meetings
Houston, TX	\$70	
Kansas City, MO	\$200	
Los Angeles, CA	\$175	Includes all meetings
New York, NY	\$250	Includes all meetings
Orange County, CA	\$95	
Philadelphia, PA	\$200	Includes all meetings
Pittsburgh, PA	\$0	
Richmond, VA	\$75	
Rocky Mountain, CO	\$100	
San Diego, CA	\$75	
San Francisco, CA	\$100	
Seattle, WA	\$75	
Silicon Valley, CA	\$100	
South Florida	\$75	
St. Louis, MO	\$225	Includes all meetings
Triangle, NC	\$100	
Twin Cities, MN	\$90	
Virtual	\$125	
Wisconsin	\$0	