



November 21, 2017

Short Takes

This is a short work week for most of us, thanks to Thanksgiving. With that in mind, here are a few short takes to take you to the holiday.

The U.S. House Financial Services Committee voted 40-20 to approve Rep. Sean Duffy (R-WI)'s [legislation](#), H.R. 4015, which would direct the SEC to regulate proxy advisory firms. This bill is one of NIRI's primary advocacy objectives for the 2017-18 Congressional session. Six Democrats joined with the committee's Republicans in supporting this bill. There are enough Republican votes to ensure that the bill will pass the House, but if the final bill clears the full House with minimal Democratic support, it will be less likely that proxy advisor reform will progress in the Senate. Watch for more on this later this year.

And speaking of voting – you have until Wednesday, November 22, to vote your NIRI Proxy Ballot. To vote your proxy, please sign in to www.niri.org as a member and then click on your name in the upper right corner of the home page. Click on “My Transactions” and scroll to the bottom of the page to “Online Surveys” to vote.

Registration has opened for the NIRI 2018 Annual Conference at the beautiful Wynn Hotel Las Vegas on June 10-13, 2018. Visit the 2018 Annual Conference site to [register now](#) at early registration rates.

During this week of giving thanks, please take a minute to be grateful for those who have helped you along your professional career. We have each been gifted with advice, insight, lessons, a helping hand, and maybe even a not-so-gentle nudge by a colleague, mentor, role model, or friend. I know that I wouldn't be where I am with such support. Let's all give thanks for those who thought enough of us and our potential as we made our career journey.

Happy Thanksgiving!

Gary



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