



# National Investor Relations Institute

# MEMBERSHIP RENEWAL FORM

This form is for former NIRI members whose membership has expired.

## Individual Data

First Name Middle Initial Last Name Suffix

Nickname/Name for Badge (optional)

Full Company Name

Your Title

Address

City State/Province Zip/Postal Code

Country

Phone Fax Email

Year Started Working Year you started IR activities

Company Web Site Ticker Symbol (if applicable)

Standard Industrial Classification (SIC) 2-digit Code  
If applicable; for codes [www.osha.gov/pls/imis/sic\\_manual.html](http://www.osha.gov/pls/imis/sic_manual.html)

Primary Industry Secondary Industry

### STOCK MARKET/EXCHANGE (IF APPLICABLE):

- NASDAQ OMX  NYSE AMEX
- Non-U.S./OTC/Pink Sheets (please specify): \_\_\_\_\_

## Type of Organization

- Public Company
- Private Company that may go public
- IR consulting
- Service Provider or Vendor
- Law firm
- Venture Capital firm/investment bank/hedge fund
- University/Academic Institution
- Other: \_\_\_\_\_

## Membership Categories

- CORPORATE MEMBER:** Investor relations practitioners employed by a company listed on a public stock exchange or a company that may go public.  
**U.S. \$625**
- COUNSELOR MEMBER:** Investor relations practitioners engaged in assisted public company clients.  
**U.S. \$625**
- SERVICE PROVIDER MEMBER:** Individuals employed in a business that provides products or services to support the investor relations function.  
**U.S. \$625**
- AFFILIATED PROFESSION MEMBER:** Individuals employed in a profession related to investor relations; attorneys, investment bankers, analysts/brokers, accountants, etc.  
**U.S. \$625**
- ACADEMIC MEMBER:** Professors or students engaged full-time in an academic institution in areas encompassing investor relations or corporate communications.

Academic institution Advisor/Dean

Email Phone

**U.S. \$100**

## Profile of Counseling, Service Provider, or Affiliate Firm

(Please check a maximum of five)

- Advertising/branding/marketing
- Annual reports
- Conferencing
- Consulting for public companies
- Corporate communications
- Executive recruitment
- IPO consulting
- Meetings
- News release
- Proxy solicitation, contests
- Research
- Shareholder analysis, targeting
- Stock transfer agents
- Web site development
- Writing, presentation development
- Other: \_\_\_\_\_

## Code of Ethics

**As a member of the National Investor Relations Institute, I will:**

### CORPORATE/COUNSELOR MEMBERS:

1. Maintain my integrity and credibility by practicing investor relations in accordance with the highest legal and ethical standards.
2. Avoid even the appearance of professional impropriety in the conduct of my investor relations responsibilities.
3. Recognize that the integrity of the capital markets is based on transparency of credible financial and non-financial corporate information, and will to the best of my ability and knowledge work to ensure that my company or client fully and fairly discloses this important information.
4. Provide analysts, institutional and individual investors and the media fair access to corporate information.
5. Honor my obligation to serve the interest of shareholders and other stakeholders.
6. Discharge my responsibilities completely and competently by keeping myself abreast of the affairs of my company or client as well as the laws and regulations affecting the practice of investor relations.
7. Maintain the confidentiality of information acquired in the course of my work for my company or client company.
8. Not use confidential information acquired in the course of my work for my personal advantage nor for the advantage of related parties.
9. Exercise independent professional judgment in the conduct of my duties and responsibilities on behalf of my company or client.
10. Avoid any professional/business relationships that might affect, or be perceived to potentially affect, my ethical practice of investor relations.
11. Report to appropriate company authorities if I suspect or recognize fraudulent or illegal acts within the company.
12. Represent myself in a reputable and dignified manner that reflects the professional stature of investor relations.

### SERVICE PROVIDER/AFFILIATED PROFESSION/ACADEMIC MEMBERS:

1. Maintain my integrity and credibility in accordance with the highest legal and ethical standards.
2. Keep myself abreast of the laws and regulations affecting the practice of investor relations to the extent necessary to fulfill my duties.
3. During the course of my work, support, study, research, reporting, and/or practice of investor relations:
  - Maintain the confidentiality of information acquired.
  - Not use confidential information acquired for personal advantage nor for the advantage of other parties.
  - Exercise independent judgment and avoid conflicts of interest.
4. Support and promote maintaining the highest level of ethical standards for investor relations professionals.
5. Report to appropriate authorities if I strongly suspect or recognize fraudulent or illegal acts are being conducted.
6. Represent myself in a reputable and dignified manner that reflects on the professional stature of investor relations.
7. Honor my obligation to serve the interest of shareholders and other stakeholders by recognizing that the integrity of the capital markets is based on transparency of credible financial and non-financial corporate information.
8. Avoid any professional/business relationships that might affect, or be perceived to potentially affect, the ethical practice of investor relations or its professional stature.

**Have you ever been cited for violating U.S. Securities and Exchange Commission regulations, or convicted of a felony?**  Yes  No

Please sign here

Date

## Payment

**National Dues: \$625**

\$ \_\_\_\_\_

### SELECT PAYMENT METHOD

*For security and compliance purposes, NIRI will no longer accept credit card payments via email, phone or mailed correspondence. Please login at [www.niri.org](http://www.niri.org), go to store, and click on my account to process payment by credit card.*

- Check
- ACH./Wire

### CHAPTER AFFILIATIONS (OPTIONAL):

NIRI Chapters provide valuable education and networking. National membership is a prerequisite for chapter membership. You may join up to three NIRI Chapters. For a full list of chapters and chapter dues go to [www.niri.org/chapterdues](http://www.niri.org/chapterdues).

Chapter 1: \_\_\_\_\_ **Dues Amount: \$** \_\_\_\_\_

Chapter 2: \_\_\_\_\_ **Dues Amount: \$** \_\_\_\_\_

Chapter 3: \_\_\_\_\_ **Dues Amount: \$** \_\_\_\_\_

**Total National and Chapter Dues:** \$ \_\_\_\_\_

**Mail check to :** 225 Reinekers Lane, Alexandria, VA 22314

**ACH/Wire Instructions: Acct:** 2066700461169 **ABA:** 121000248

**Swift Code:** WFBUIUS6S **Bank Name:** Wells Fargo

**NIRI Tax ID #:** 54-1758790

*NIRI membership is in the name of the individual, not the employer organization. Membership transfer from one individual to another may be made with permission of the original member and a \$50 transfer fee. Membership is not valid without payment of dues*

### FOR NIRI USE

Paid	Date	NIRI	C1	C2	C3	Total	Approved NIRI VP	Date